



## CHECKLIST

### Legacy Planning Checklist for C-Suite Executives

5 Strategic Moves to Safeguard Your Wealth and Values

Significant wealth often brings significant responsibility.

For senior executives, legacy planning extends beyond asset transfer. It involves values, governance, tax efficiency, liquidity planning, and thoughtful communication across generations.

As compensation structures grow more complex and balance sheets expand, legacy strategy requires coordination across tax, estate, legal, philanthropic, and investment disciplines.

**This checklist is designed to help you evaluate the strength, structure, and integration of your current legacy plan.**



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5 Strategic Moves to Safeguard Your Wealth and Values

Use this checklist to assess the strength of your legacy plan and uncover strategic opportunities.

### Living Legacy Planning

- I've articulated clear family values or charitable goals.
- I've established a donor-advised fund (DAF), private foundation, or giving plan.
- I've communicated my intentions with heirs during my lifetime.

### Strategic Use of Trusts

- I have at least one trust structured to shield assets from creditors or taxes.
- My trust(s) are updated to reflect current family, tax, and legal circumstances..
- I've considered advanced trusts (GRATs, SLATs, Dynasty Trusts) based on my estate needs.

### Executive Comp Integration

- I understand the estate and tax impact of my RSUs, stock options, or deferred comp.
- My advisor has modeled the timing and liquidity needs tied to my comp plan.
- I've coordinated insurance or liquidity strategies to cover potential estate taxes.

### Unified Advisory Team

- I have a lead advisor who integrates my tax, legal, investment, and estate strategies.
- My advisors share planning documents and updates with each other.
- I hold joint strategy reviews with my full advisory team at least annually.

### Governance and Communication

- I've created clear rules or structures for how wealth is used and managed.
- I've documented my intent (via a letter of wishes, trust governance, or family charter).
- I've engaged my family or heirs in discussions about wealth, values, and roles.

### If You Checked Fewer Than 8 Boxes...

Your legacy plan may not be as secure — or as strategic — as it could be. A coordinated, multi-disciplinary review can help bulletproof your approach.

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### Interpreting Your Results

If several areas remain unchecked, your legacy strategy may benefit from deeper coordination or periodic review.

Legacy planning is not static. Tax laws evolve. Asset values shift. Family dynamics change. Executive compensation structures grow more complex over time.

A coordinated legacy strategy for senior executives typically includes:

- Integration between estate, tax, and investment planning
- Structured liquidity planning for concentrated equity
- Clearly documented intent and governance frameworks
- Intentional family communication
- Ongoing review as financial complexity evolves

For executives balancing leadership responsibilities with long-term wealth stewardship, thoughtful coordination can help ensure that both assets and values are preserved intentionally.

### Strategic Legacy Coordination Review

Avion Wealth offers a **complimentary Strategic Coordination Review** for executives seeking an integrated perspective on legacy planning.

This discussion is educational in nature and designed to evaluate alignment across estate structures, executive compensation planning, and family governance strategy.

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